Potential Data System IT questions:

- Do we envision the compact IT system being one-way or reciprocal in its ability to exchange data?
- Which entity will review and approve applications for a compact privilege? The Compact staff? Or each Board?
- Does the IT system have the ability to exchange data? Reciprocally? Or is the system only accepting data from states?

  - Alternative version: Does the IT system have the ability to exchange data? Reciprocally? Or is the system only accepting data from states, to include importing data on which licensees in a member jurisdiction has privileges in another member jurisdiction?

- What is the mechanism for that exchange? API? Excel spreadsheet?
- How often can that exchange happen? Daily? Weekly? Real Time?
- What is the average cost for states to spend in complying with the compact system requirements?
- How much technical assistance can states expect in implementing the Compact data system?
- Will there be financial assistance available to jurisdictions that may not have the budget available to implement the technology for the data system?
- Will the data system be capable of reporting disciplinary data to the National Practitioner Databank on behalf of the member jurisdictions thus making the Compact Commission the NPDB Reporting Agent? Or will this remain a manual process for member jurisdictions?
- In how many other institutions is this software currently installed?
- How long has the software company been around? What type of maintenance do they provide?
- When data is shared between compact and state licensing boards, is the exact same information shared from each state? In other words, can state A share 15 fields and state B state 10. If yes, is there a minimum core data set required by each state? Can you share the exact fields that are required?
- Will this system capture and maintain Personal Identifiable Information (PII)? If so, what are the implications of a breach?
- Will the system allow for anonymous or guest users?
- Will data from the database be sold for a profit? Or shared with other organizations? If so, who will receive the funds and how will those funds be used?
- Will the compact be required to create reports for government agencies? If so, how easily can various/all fields be selected and exported for government reports? For example, names, military status, email address, telephone number, issue/expiration date, field of practice, degree, birthdate, license number, states where they hold a privilege.

Potential Member State Survey questions:

- Can you collaborate with nursing and other boards with compacts for set up assistance in state? Did your state's IT support communication b/w the state data system and compact data system? What were/are concerns or cons with those systems?

> **Commented [IE1]:** Does this repeat question number 1?

- What are your available sanctions/disciplinary actions? Are they all reportable to NPDB? What formal actions or disciplinary violations do you report to your licensure database? Do they have time frames?
- Do you use NPDB codes within your public license lookup?
- <u>Do you believe your jurisdiction would oppose allowing the Compact Commission to serve as your jurisdictions NPDB Reporting Agent?</u>
- Do you believe it would be an excessive administrative burden to supply the compact commission with only the information of the applicants interested in compact privileges? Do you believe it is best for public safety to upload the data of both those interested in compact privileges and those who are not interested? Do you believe consent or merely disclosure from applicants who are not interested in utilizing the compact would be required in the case that their information will be shared with the compact commission?
- Are there any laws in your state that would prohibit certain information from being shared to the Compact database (e.g., SSN, etc.)? If yes, what category of information? (Even though the Compact trumps conflicting state law)
- Is your state's licensing database able to share data with another database? If yes, what format (API, excel spreadsheet, CSV)? If no, what would your state need to be able to share data with another database?
- Is it important to your state to share data from every licensed practitioner in the field in your state to the database? Or, just those licensees interested in the Compact? Which choice do you believe would be most cost-effective and less of an administrative burden?
- Would your state be opposed to paying a sliding fee scale for the use of the Compact database, which would be based upon the number of licensees in the database?
- <u>How much administrative time was spent with compact database setup? What about time for ongoing maintenance once initial setup is completed?</u>
- What is the estimated/anticipated cost for a consultant to customize this product for the OT Compact's specific needs?
- Will this require member states to build an API interface, or will information be accepted via Microsoft Excel or text/CSV upload?
- Can this product scale to accommodate larger data sets and/or increased functionality in the future?

Commented [IE2]: Will the state know this? Or should this question be directed elsewhere?

Commented [IE3]: This should be a question for IT vendors rather than states.

Commented [IE4]: See above comment.